NMLS October Maintenance Release (2017.3.1) - Release Notes
Release Date: October 16, 2017

The purpose of these release notes is to provide a summary of system enhancements and maintenance updates included in the NMLS October Maintenance Release (2017.3.1).

General Enhancements

SCR Number	Title	Description	Context
41010	Individual Snapshot: Improve consistency of display	The following flags and hyperlink have been added to Individual Snapshot to improve consistency with Individual Composite View: • Has Testing and Education Investigations • PE Expired (regulator and support users only) • Invalid Test Results • Hyperlink from Viewable Regulatory Actions indicator	State
40578	MSB Call Report: (TD-A) Explanatory note required if data warning exists	A completeness check will require users to provide an explanatory note if data warnings are triggered in the TD-A section of the MSB Call Report.	State
40980	Reports: Surety Bond Roster Report (Regulator) filter addition	A new "Include Cancelled Bonds" radio button filter has been added to the Surety Bond Roster Report (Regulator). The filter will default to "No." Regulators can select "Yes" if they want Cancelled Bonds included in the report.	State
20035	Reports UI: Sort selection list alphabetically	The drop-down list of report selection for all user types will be sorted alphabetically.	Both
40258	Surety Bonds: Permit BOR Change with no Incoming Producer	Surety companies will be permitted to use the Broker of Record functionality without providing a new Incoming Producer. This will allow the surety company to remove the producer from the bonds without assigning a new producer.	State

General Enhancements

SCR Number	Title	Description	Context
40275	Worklist: Update Branch Manager Association Removal Review Item Trigger	The "Branch Manager Association Removal" review item will be triggered when a company or an individual terminates the company relationship.	State
40276	Worklist: Update Qualifying Individual Association Removal Review Item Trigger	The "Qualifying Individual Association Removal" review item will be triggered when a company or an individual terminates the company relationship.	State

System Maintenance Updates

SCR Number	Title	Description	Context
35162	Company Filing: Masked SSN is displaying for Individual Indirect Owners	NMLS will be updated to show the masked (last 4 digits appear) SSN for Indirect Owners on the company filing only if the user has the View Confidential Information role.	State
41109	Data Download: Error in IsGeneralDisclosureExplanation in Individual Filing.xml	The IsGeneralDisclosureExplanation flag will be populated in the Individual Filing.xml for their Data Download when the filing is created with a retained attestation.	State
40637	State License/Registration List: Current Renewal Status sort not working	The column header sort capability for the Current Renewal Status column on the Individual State License/Registration Lists pages in Composite View will be updated to accurately sort the list by Current Renewal Status.	State
41045	Surety Bonds: Composite reflects Rider Issued incorrectly	The Rider Issued flag in Composite View will be updated to display "Yes" only if at least one rider has been delivered and was not subsequently voided.	State
40914	Surety Bonds: Notification fails for no outgoing BOR	The notification sent when Broker of Record (BOR) request is rejected will be sent to the incoming producer. The System will be updated to ensure this notification is sent in this scenario.	State
41140	Surety Bonds: System preventing rider deletion	The System will allow surety bond riders to be deleted after a Legal Name Advance Change Notice (ACN) effective date is updated.	State
41063	Federal Registry: M&A Options Page title and Tab missing	The Page title and tabs will display on the Merger and Acquisitions Options page.	Federal
40913	System Access: GSE presented if new user tries to log in to Federal Registry	A new user will see a "try again" message instead of a General Service Error when trying to log in to the Federal Registry prior to the Credential Invoice being created or the pending subscription payment being processed.	Federal